

Code	TVB
Company name	Tri Viet Securities Joint Stock Company
Date	03/03/2021
Subject	Resolution on the AGM 2021

Content:

Tri Viet Securities Joint Stock Company announces the Resolution of Annual General Meeting 2021 dated March 01, 2021 with the following contents:

1. Approving the business result in 2020:
 - Total revenue: 171,102,002,339 dongs
 - Profit before tax: 84,040,537,207 dongs
 - Profit after tax: 72,292,354,128 dongs.
2. Approving the business plan in 2021:
 - Total revenue: 240,000,000,000 dongs
 - Profit after tax: 100,000,000,000 dongs.
3. Approvng the report on the BOD activities in 2020 and plan for 2021.
4. Approving the report from the Supervisory Board in 2020 and plan for 2021.
5. Approving the audited financial statements in 2020 by An Viet Auditing Company Limited.
6. Approving the Proposal to approve the stock issuance plan to raise its charter capital.
7. Approving the remuneration of the BOD and Supervisory Board in 2021.
8. Approving the supplement of the Company's securities business operation.
9. Approving the selection of one of the audit firms for the financial statements in 2021:
 - PKF Vietnam Company Limited;
 - TTP Auditing Company Limited;
 - An Viet Auditing Company Limited;
 - Ernst & Young Vietnam Company Limited;
 - The Southern Auditing and Accounting Finnacial Consulting Service Company Limited (AASCS);
 - Deloitte Vietnam Company Limited.
10. Approving the amendment of the Company's charter.
11. Approving the profit distribution in 2020 and plan for 2021;
 - a. Profit distribution plan in 2020:
 - Profit after tax: 72,292,354,128 dongs

- Distribution profit of previous years transferred: 37,557,499,045 dongs
 - Profit after tax to distribute: 109,849,853,173 dongs
 - Appropriation to charter capital supplementary reserve fund (5%): 973,966,986 dongs
 - Appropriation to Financial reserve and professional risks fund (5%): 973,966,986 dongs
 - Remaining profit after tax and appropriation to other funds: 107,901,919,201 dongs
 - To pay bonus shares with the ratio of 7.3%: 35,452,030,000 dongs
 - To pay first cash dividend payment with the ratio of 10%: 54,725,873,002 dongs
 - Retaining profit after the distribution: 17,724,016,199 dongs.
- b. Profit distribution plan in 2021:
- Expected profit after tax in 2021: 100 billion dongs
 - Expected dividend payment: 20%.
12. Approving the resignation of Mr. Nguyen Thanh Duy and Mr. Vu Dinh Hung as member of BOD.
 13. Approving the appointment of Mr. Do Thanh Ha and Mr. Do Duc Nam as member of BOD of the Company for the term 2020 – 2024.
 14. Approving the list of the members of BOD, including:
 - Mr. Pham Thanh Tung: Chairman of BOD;
 - Mr. Nguyen Tien Son: Deputy Chairman of BOD
 - Mr. Phan Le Thanh Long: member of BOD
 - Mr. Do Thanh Ha: member of BOD
 - Mr. Do Duc Nam: member of BOD.
 15. Approving the resignation of Mr. Han Cong Khanh as member of Supervisory Board.
 16. Approving the appointment of Mr. Nguyen Phi Khanh as member of Supervisory Board for the term 2021-2025.
 17. Approving the list of members of Supervisory Board, including:
 - Mr. Nguyen Phi Khanh: member of Supervisory Board
 - Mr. Bui Minh Tuan: member of Supervisory Board
 - Mr. Pham Duc Minh: member of Supervisory Board.
 18. Approving to implement the foreign investors ownership ratio to 75.6%.
 19. Approving the stock issuance under ESOP plan.